

BUSINESS ACTIVITY DISCLOSURE

As a Carte Wealth Management Inc. Representative, I am engaged in various business activities including being a registered Mutual Funds Salesperson, a Second Lieutenant Reservist Officer and a self-employed Supervisor of Traffic Controls and represent separate companies for each purpose. As such, you may be dealing with more than one company depending on the products purchased, or services rendered. Remuneration also varies with the products purchased, or services rendered. I will be representing Carte Wealth Management Inc. for the sale of mutual fund products and will provide you with the names of the entities that I represent while conducting business as a Second Lieutenant Reservist Officer and a self-employed Supervisor of Traffic Controls. The business activities relating to my position as a Second Lieutenant Reservist Officer and as a Supervisor of Traffic Controls, are not the business of, or under the supervision of Carte Wealth Management Inc., and Carte Wealth Management Inc. will not be liable or responsible for such activities.

I, Katelin McNeil, am a registered mutual fund salesperson represented by Carte Wealth Management Inc.

1. I am a Second Lieutenant Reservist Officer with the Government of Canada – Department of National Defense, whereby I work as a Second Lieutenant Reserve Military Officer. Any activity relating to my occupation as a Second Lieutenant Reservist Officer is not the business of, or under the supervision of, Carte Wealth Management Inc., and Carte Wealth Management Inc. will not be liable or responsible for such activities.
2. I also am a self-employed Supervisor of Traffic Controls with my company McNeil Professional Services Ltd. (contracted to Trans Mountain through Emquinn), whereby I work supervising the plans and traffic control analysis. Any activity relating to my occupation as a self-employed Supervisor of Traffic Controls is not the business of, or under the supervision of, Carte Wealth Management Inc., and Carte Wealth Management Inc. will not be liable or responsible for such activities.

I, Katelin McNeil, wish this distinction to be clear, and if you are not sure of the difference, let us discuss it, or I can suggest sources from which you can obtain the necessary explanation. Our self-regulatory organization, The Mutual Fund Dealers Association of Canada (“MFDA”), has rules which state that the distinction between these business activities must be clear and that you understand the difference for the purposes of this transaction.

As a Second Lieutenant Reservist Officer, I, Katelin McNeil, am considered to be in a position of influence over persons met through this activity and securities regulation states that individuals registered under the Securities Act (Ontario) (the Act) should be, in circumstances such as these, restricted from dealing with members of the public over whom they may be in a position of influence. As such, I may not act as a registered mutual funds salesperson in respect of any person over whom I am in a position of influence or authority at Government of Canada – Department of National Defense (Restricted Clients), or of a spouse, parent, brother, sister, grandparent, or child of Restricted Clients.

By signing below, I, the Client, acknowledge that Katelin McNeil is acting as my registered mutual funds salesperson on behalf of Carte Wealth Management Inc. and in no other capacity.

Signed at _____ on this _____ day of _____, 20_____.

Client Name

Client Signature

Katelin McNeil

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